



GAU 3724

PATENT

1954-039 (81841.0055)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID L. GOODALE, et al.

S rial No: 09/599,305

Filed: June 22, 2000

For: CAP PIERCING STATION FOR
CLOSED CONTAINER SAMPLING
SYSTEM

Art Unit: 3724-1743

Examiner: Not Assigned

SAH
#4
3-6-01

I hereby certify that this correspondence
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Washington D.C. 20231, on

February 9, 2001

Date of Deposit

Wei-Ning Yang, Reg. No. 38,690

Name

February 9, 2001

Signature

Date

**TRANSMITTAL OF INFORMATION
DISCLOSURE STATEMENT**

Commissioner for Patents
Washington, D.C. 20231

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under  1.114, whichever event occurs last. 37 C.F.R.  1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in  1.97(b), but *before* the mailing date of a final action under  1.113, or a notice of allowance under  1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in  1.97(e) or a fee set forth in  1.17(p) is included. 37 C.F.R.  1.97(c).

 1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R.  1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the

information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

§1.97(e) STATEMENT

I, the person signing below, state:

☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).

4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 13 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A

Respectfully submitted,
HOGAN & HARTSON L.L.P.

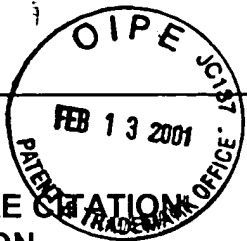
Date: February 9, 2001

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FORM PTO-1449



INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)
1954-039 (81841.0055)Application Number
09/599,305

Applicant

David L. Goodale et al.

Filing Date
June 22, 2000Group Art Unit
3724

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3	8	8	3	3	0	5	05/13/75	Hoskins et al.			
	4	1	1	0	8	7	5	09/05/78	Foster			
	4	7	2	9	8	7	6	03/08/88	Hennessy et al.			
	4	7	4	5	0	6	8	05/17/88	Godrey et al.			
	4	8	7	1	6	8	2	10/03/89	Mazza			
	5	2	1	6	9	2	6	06/08/93	Lipscomb			
	5	2	3	6	6	6	6	08/17/93	Hulette et al.			
	5	4	3	3	9	2	2	07/18/95	Parker et al.			
	5	4	5	5	0	0	7	10/03/95	Calvo et al.			
	5	7	2	8	9	5	4	03/17/98	Uffenheimer			
	5	9	3	5	5	2	3	08/10/99	McCandless et al.			
	5	9	4	2	6	9	4	08/24/99	Robins et al.			
	5	9	6	9	2	7	2	10/19/99	Tanaka			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.